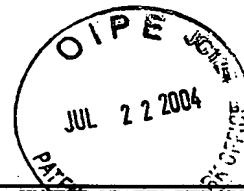


# COPY

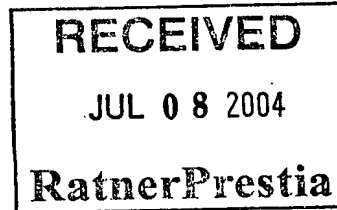


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
09/980,880	09/03/2002	1746	1434	MAT-8189US	10	28	6

Lawrence E Ashery  
Ratner & Prestia  
One Westlakes Berwyn Suite 301  
PO Box 980  
Valley Forge, PA 19482-0980



CONFIRMATION NO. 1394

CORRECTED FILING RECEIPT



\*OC000000013156822\*

Date Mailed: 07/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Yoshio Goda, Osaka, JAPAN;  
Norio Suzuki, Osaka, JAPAN;  
Kiyoshi Yamashita, Aichi, JAPAN;

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP01/01457 02/27/2001

**Foreign Applications**

JAPAN 2000-050611 02/28/2000

If Required, Foreign Filing License Granted: 07/06/2004

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

**Title**

~~Sealing plate, cell using the sealing plate, and method of manufacturing the cell~~



TOP SEALING PLATE, BATTERY USING THE TOP SEALING PLATE, AND METHOD OF  
MANUFACTURING THE BATTERY



**COPY**

Preliminary Class

429

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/21 (04-04) (AW 06/2004)

Approved for use through 7/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/980,880
	Filing Date	September 3, 2002
	First Named Inventor	Yoshio Goda et al.
	Art Unit	1746
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket No.	MAT-8189US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosures (please identify below): Second Request for Corrected Filing Receipt, Copies of Corrected Filing Receipt and Supplemental Preliminary Amendment filed 3/12/03
<b>Remarks:</b>		

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT			
Firm or Individual Name	RatnerPrestia Lawrence E. Ashery	Registration No. (Attorney/Agent)	34,515
Signature			
Date	July 20, 2004		

CERTIFICATE OF TRANSMISSION / MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Typed or printed name	Lawrence E. Ashery		
Signature		Date	July 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



MAT-8189US

IFW Receipt  
PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Yoshio Goda, et al.

Application No.: 09/980,880

Group No.: 1745

Filed: September 3, 2002

Examiner:

For: TOP SEALING PLATE, BATTERY USING THE  
TOP SEALING PLATE, AND METHOD OF  
MANUFACTURING THE BATTERYFiling Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**SECOND REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data:

- ☒ Incorrectly entered  
and/or  
☐ omitted

*Error in*

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title  
(See P. Amendment)
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Foreign/PCT Application Reference
7. ☐ Other - Foreign Applications

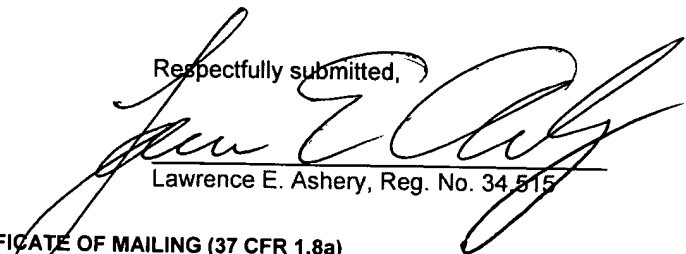
*Correct data*

- 1.
- 2.
3. TOP SEALING PLATE, BATTERY USING  
THE TOP SEALING PLATE, AND METHOD  
OF MANUFACTURING THE BATTERY
- 4.
- 5.
- 6.
- 7.

3. No fee is due.

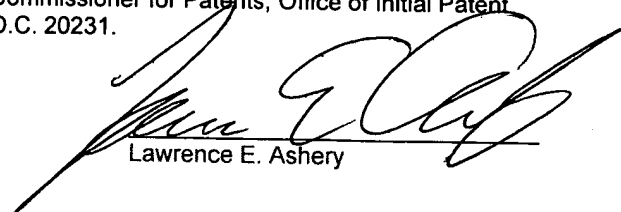
RatnerPrestia  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515

## CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Office of Initial Patent Examination's Filing Receipt corrections, Washington, D.C. 20231.

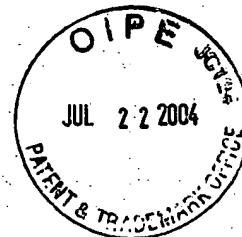
Date: July 20, 2004  
Lawrence E. Ashery

**COPY**

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yoshio Goda et al. : Art Unit: 1745  
Serial No.: 09/980,880 : Examiner:  
Filed: September 3, 2002 :  
For: TOP SEALING PLATE, BATTERY USING :  
THE TOP SEALING PLATE, AND  
METHOD OF MANUFACTURING THE  
BATTERY (AS AMENDED)

SUPPLEMENTAL PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
Washington, DC 20231

S I R :

Prior to examination, please amend the above-identified application as follows:

TITLE:

Please replace the Title with the following:

TOP SEALING PLATE, BATTERY USING THE TOP SEALING PLATE, AND METHOD OF  
MANUFACTURING THE BATTERY

Respectfully Submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

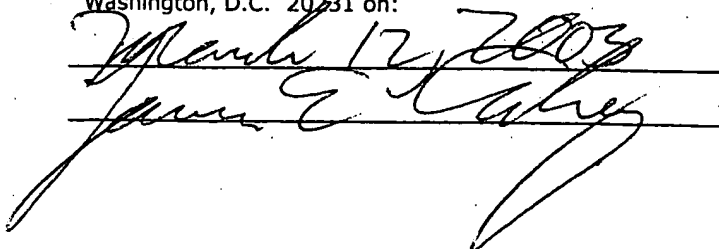
LEA:kc

Enclosure: Version with markings to show changes made

Dated: March 12, 2003  
P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Assistant Commissioner for Patents is  
hereby authorized to charge payment to  
Deposit Account No. 18-0350 of any fees  
associated with this communication.

I hereby certify that this correspondence is being  
deposited with the United States Postal Service with  
sufficient postage as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231 on:

  
March 12, 2003  
Lawrence E. Ashery

**COPY**

VERSION WITH MARKINGS TO SHOW CHANGES MADE

TITLE:

TOP SEALING PLATE, BATTERYCELL USING THE TOP SEALING PLATE, AND METHOD  
OF MANUFACTURING THE BATTERYCELL